INITED STATES DISTRICT COURT

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK

GARY PIRSCHL,

1998 NOV -2 A 9: 57

Petitioner.

CLERK
U.S. DISTRICT COU

JUDGMENT 98-CV-5940 (DGT)

-against-

EASTERN DISTRICT

DUKE & CO., INC., VICTOR M. WANG, GREGG A. THALER and MICHAEL STRAUSS,

Respondents.

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An order of the Honorable David G. Trager, United States District Judge, having been filed on October 27, 1998, confirming the arbitration award of NASD Regulation, Inc., dated on or about September 2, 1998, and entering judgment against the respondents, jointly and severally, as follows: awarding petitioner the amount of \$461,307.00 plus six percent interest per annum from September 2, 1998, plus \$750.00 for reimbursement of the hearing session deposit, awarding NASD Regulation, Inc. the amount of \$3,250.00 for forum fees; and, awarding petitioner post judgment interest at the legal rate; it is

ORDERED and ADJUDGED that the arbitration award of NASD Regulation, Inc., dated on or about September 2, 1998 is confirmed, and judgment is hereby entered against the respondents, jointly and severally, as follows: the petitioner is awarded the amount of \$461,307.00 plus six percent interest per annum from September 2, 1998, plus \$750.00 for reimbursement of the hearing session deposit, NASD Regulation, Inc. is awarded the amount of \$3,250.00 for forum fees; and, petitioner is awarded post judgment interest at the legal rate.

Dated: Brooklyn, New York November 2, 1998

ROBERT C. HEINEMANN

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Clerk of Court

AO 72A (Rev.8/82)